The term “Sustainability” which was perceived as fascination has now become a differentiating factor for businesses. By launching the ninth edition of Sustainability 4.0 Awards, we intend to have large number of companies moving towards a structured sustainability agenda.

Partnering with TERI has further fostered our commitment and action plan on this journey.

I hereby take this opportunity to personally invite you to be part of this exciting assessment, improvement and recognition program.

Y.S. Shashidhar
Managing Director & Partner
Frost & Sullivan

Success breeds more success and nothing amplifies successful behavior more than recognition in a framework of aspiration. We at TERI are proud to partner with Frost & Sullivan in this initiative to recognize best practices in sustainability.

The Sustainability 4.0 Awards reflect our common commitment to provide a measurable and verifiable framework for sustainability, and to honor the efforts of businesses to meet and to better these benchmarks.

We look forward to have you with us on this journey, which would lead to a better future for all of us.

Dr. Ajay Mathur
Director General
TERI

FROST & SULLIVAN AND THE ENERGY AND RESOURCES INSTITUTE (TERI) LAUNCH THE 2018 EDITION OF SUSTAINABILITY 4.0 AWARDS.

Businesses across the globe share the most precious asset – PLANET EARTH. The Industrial Revolution, over the years, has dramatically changed the earth’s ecosystem and our relationship with it. Today, Businesses are dealing with a complex and unprecedented brew of Environmental, Social and Governance (ESG) risks. The effort by the businesses to sustain and overcome this challenge is not only necessary, but also makes a good business sense. “Sustainability” is foreseen as an increasingly important strategic tool to address these risks. We strongly believe that embedding sustainability would, along with economic value creation, redefine the business ecosystem by creating value for all stakeholders, builds safer environment and stronger community.

The Sustainability 4.0 Awards is designed to honor the efforts made by businesses towards achieving this objective. Through this initiative, we aspire to assist organizations unearth the risks, leverage onto the opportunities, enable them to benchmark their performance, and of course be rewarded for their accomplishments.

The awards platform instituted in 2009 has evolved in its scope and boundary ever since its inception. The year 2016 was marked with Frost & Sullivan partnering with The Energy and Resources Institute (TERI) to drive the common agenda and mission “to assist adoption of sustainable development practices through a healthy benchmarking and recognition process”.

The Sustainability 4.0 Awards is designed to honor the efforts made by businesses towards achieving this objective. Through this initiative, we aspire to assist organizations unearth the risks, leverage onto the opportunities, enable them to benchmark their performance, and of course be rewarded for their accomplishments.

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**SUSTAINABILITY 4.0 AWARDS**

**PROGRAM – KEY FEATURES**

1. **SELF ASSESSMENT**
   Submission of Application Form and Self-Assessment Docket (SADOC)

2. **FEEDBACK**
   An executive summary with industry comparisons and opportunities for improvement will be shared to the facility

3. **SHORTLISTING**
   Screening of the application documents by the committee

4. **ON SITE ASSESSMENT**
   Assessment team visits the facility and maps the maturity on the Sustainability Excellence Model

5. **COMMITTEE EVALUATION**
   The committee shall review the companies qualified for awards and finalize the award map

6. **AWARD & CERTIFICATION**
   Winner’s and Qualifiers shall be felicitated at the S4.0 2018 awards banquet

**KEY FEATURES**

- Transparent and rigorous assessment process based on our Sustainability 4.0 Framework.
- Evaluation criteria’s and checkpoints predefined to build objectivity.
- Different weightage for sub-parameters based on the criticality and relevance to industry.
- Assessment team comprises of sustainability professionals from Frost & Sullivan, TERI and Industry Experts.
- Overall scores for the facility arrived on-site.
- Feedback report highlighting current performance and improvement opportunities submitted post the assessment.
There are three levels of recognition based on the predefined qualifying scores. These levels of recognition are Believers, Challengers and Leaders and the qualifying scores out of 1200 are 725, 800 and 875 respectively.
Companies qualifying in “Believers” band will be recognized with “Certificate of Merit”. Companies that qualify in “Challengers” and “Leaders” band will qualify for an award in their respective participation category and others will be recognized with “Certificate of Merit”. The top two highest scoring companies of the year will be recognized with “Sustainable Business of the Year” and “Sustainable Business of the Year - 1st Runner-up” awards.
All the companies scoring over 700 out of 1000 will be recognized with “Safety Excellence - Certificate of Merit”. Under each participating category, the top two scoring companies will be recognized with “Safety Excellence Award - Winner” and “Safety Excellence Award – 1st Runner-Up” respectively.

### Safety Excellence Assessment Framework

<table>
<thead>
<tr>
<th>300 POINTS</th>
<th>200 POINTS</th>
<th>200 POINTS</th>
<th>200 POINTS</th>
<th>100 POINTS</th>
</tr>
</thead>
<tbody>
<tr>
<td>SAFETY MANAGEMENT</td>
<td>PROCESS SAFETY</td>
<td>EQUIPMENT SAFETY</td>
<td>BEHAVIOURAL SAFETY</td>
<td>OCCUPATIONAL HEALTH</td>
</tr>
</tbody>
</table>
| • Safety Policies and Goals  
• Performance Management  
• Safety Training  
• Accident and Incident Prevention  
• Emergency Preparedness  
| • Workplace Safety  
• Hazard Management  
• Material Storage and Handling  
• Work Permit System  
• Fire Safety  
| • Machine and Tool Safety  
• Lifting Tools and Tackles  
• Storage Tanks and Vessels  
• Safety Equipment Management  
| • Safety Culture  
• Safety Compliance  
• Contractor Safety  
| • Medical Facilities  
• Ergonomics  
• Occupational Diseases  |

### SAFETY AWARDS & RECOGNITION

- **SAFETY EXCELLENCE AWARD – WINNER**
- **SAFETY EXCELLENCE AWARD – 1st RUNNER-UP**
- **SAFETY EXCELLENCE AWARD – WINNER**
- **SAFETY EXCELLENCE AWARD – 1st RUNNER-UP**
- **SAFETY EXCELLENCE AWARD – WINNER**
- **SAFETY EXCELLENCE AWARD – 1st RUNNER-UP**

- **SAFETY EXCELLENCE AWARD – CERTIFICATE OF MERIT (QUALIFYING SCORE – 700 OUT OF 1000)**
### PARTICIPATION CATEGORIES & FEE STRUCTURE

<table>
<thead>
<tr>
<th>Participation Categories</th>
<th>Application Fees</th>
<th>Assessment Fees (Independent Location)</th>
<th>Assessment Fees (Corporate)</th>
<th>Safety Assessment Fees</th>
</tr>
</thead>
<tbody>
<tr>
<td>Annual Sales &lt;= USD 50 Million</td>
<td>Emerging Business</td>
<td>USD 750</td>
<td>USD 2,750</td>
<td>USD 4,000</td>
</tr>
<tr>
<td>Annual Sales &gt; USD 50 Million and &lt;= USD 150 Million</td>
<td>Medium Business</td>
<td>USD 1,100</td>
<td>USD 4,500</td>
<td>USD 6,000</td>
</tr>
<tr>
<td>Annual Sales &gt; USD 150 Million and &lt;= USD 350 Million</td>
<td>Large Business</td>
<td>USD 1,100</td>
<td>USD 6,000</td>
<td>USD 7,500</td>
</tr>
<tr>
<td>Annual Sales &gt; USD 350 Million</td>
<td>Mega Large Business</td>
<td>USD 1,500</td>
<td>USD 7,200</td>
<td>USD 9,100</td>
</tr>
</tbody>
</table>
• The fee is inclusive of all taxes
• Nominations can be given for individual facility location or as a Corporate (Individual Business Unit).
• Under corporate nominations, 60% of the facilities (by number or sales revenue) will be considered for site visit. The awards review team will decide on the facilities to be shortlisted for site visit.
• Safety is an optional module for manufacturing facilities only. Manufacturing facilities can apply for safety excellence awards independently or along with sustainability awards.

2017 PARTICIPANT’S SPEAK

It is indeed a matter of pride for us to be recognized as the “Sustainable Factory of the Year 2017”, thereby reinforcing our commitment to Sustainability.

- Mr. Nirmallya Ghosal, Branch Manager, ITC Limited, Bengaluru

The assessment process was in-depth and we received a great deal of insights as well as identified areas of improvement which will certainly help us progress towards the next level of excellence.

- Mr. Shyamashis Brahma, Global Leader Projects & Engineering, EHS & Sustainability, Genpact India

The summary of the assessment results helped us to gauge our standing vis-à-vis peer companies. It was a good experience, and helped us to refine our strategy for progressing onward in our Sustainability journey.

- Mr. Vijay Kalra - CEO, Mahindra Vehicle Manufacturers Limited and CMO, Automotive Division, Mahindra & Mahindra Limited

The assessment process is comprehensive, with in-depth validation of documents to assess the competency level and excellence in business sustainability. It was a privilege to interact with the team members and learn many managerial traits and framework during the assessment process.

- Sh. D. K. Sood, Executive Director (CSR, R&R, SD), NTPC Ltd.

Sustainability 4.0 Awards has a robust and systematic process of assessing organizations and institutions and benchmarking them with National and Global Standards. It was indeed a learning experience, which will enrich and assist us further in excelling in the domain of Sustainability.

- Mr. C. J. Iyer, General Manager (I/C) - Mumbai Refinery, Bharat Petroleum Corporation Ltd.

The Safety Excellence assessment was meticulous and intensive. The assessment provided us valuable insights about our unit and was a complete learning experience. Receiving such a prestigious award is a fantastic endorsement for our organization. The award has also significantly raised the profile of our unit regionally and nationally.

- Mr. Arvind Bodhankar, Corporate Head – HSE & Sustainability, Tata Motors Ltd.
GLIMPSES OF 2017 AWARDS

Sustainable Corporate of the Year award
RELIANCE INDUSTRIES LIMITED

Sustainable Factory of the Year award
ITC LIMITED

Sustainable Factory of the Year Award - 1st Runner-Up
MAHINDRA & MAHINDRA LIMITED

GLIMPSES OF 2017 AWARDS

Sustainable Corporate of the Year award
RELIANCE INDUSTRIES LIMITED

Sustainable Factory of the Year award
ITC LIMITED

Sustainable Factory of the Year Award - 1st Runner-Up
MAHINDRA & MAHINDRA LIMITED
HALL OF FAME

Reliance Industries Limited
Enduring Value
Larsen & Toubro
Johnson & Johnson
Raymond UCO
Air(conditioning)
Bharat Petroleum
Dr. Reddy’s
C.K. Birla Group
Pernod Ricard India
Cummins
Sanofi
Growth is Life
A Mahurana Company
It’s all about imagingering
A Subsidiary of Mars, Incorporated
United Breweries Limited
Coca Cola
Hindustan Coca-Cola Beverages Pvt. Ltd.
Hindalco
Mahindra
Tata
Agriculture
Sustainability
Future of energy
Toughness

Hindustan Petroleum Corporation Limited
GAIL (India) Limited
Yes Bank
Mahindra & Mahindra
Tata
Ashok Leyland
Schneider Electric
Bajaj Distinctly Used
Philips
Asian Paints
Usha Martin
Jindal Steel & Power
Vizag Steel
Jindal Power
Thermax
Welspun
Aditya Birla
Birla Cellulose
Abbott
A Promise for Life

Hindustan Zinc Limited
Bharat Alumina Company Limited
OleoTech

Endurance
Mars
JK Paper Ltd.
Mars Chocolate
TVS Tyres
Chase

Sterlite
Olam
Crosstex

TVS Motors
Zydus Cadila
Aspire Systems

Kariwala
Srijan

Source: Sustainability 4.0 Awards
www.sustainability4awards.org
KEY MILESTONES & DATES

01 31ST OCT 2017 RECEIPT OF APPLICATION DOCUMENT

02 15TH NOV, 2017 SHORTLIST COMMUNICATION

03 20TH NOV, 2017 - 10TH APR, 2018 ON-SITE ASSESSMENT

04 1ST MAY, 2018 AWARDS FINALIZATION

05 1ST JUNE, 2018 AWARDS BANQUET DAY

CONTACT DETAILS

Kindly send the application documents (application form, SADOC and application fee) to:

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